

JONES, DAY, REAVIS & POGUE

599 LEXINGTON AVENUE

NEW YORK, NEW YORK 10022-6070

TELEPHONE: 212-326-3939 • FACSIMILE: 212-755-7306



JP269400:cg 924150-027001

June 27, 2000

BY EXPRESS MAIL

BOX PATENT APPLICATION Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Re: Application of R. David Prengaman, et al.

For: Electrowinning Anodes Which

Rapidly Produce a Protective Oxide

Coating

Our Ref.: 924150-EAW

Express Mail Mailing Label No. E1932245993US

Date of Deposit 6/27/00

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1 10 on the date indicated above and is addressed to: Box Patent Application, Hon. Commissioner of Patents and Trademarks. Washington. DC 20231.

Theresa M. Gillie (Traine of person mailing correspondence)

Sir:

We enclose the above-identified application for United States Letters Patent comprising

Abstract

Specification (7 pages)

Claims (16)

Declaration

Petition and Power of Attorney

Our check in the amount of \$690 00 is attached to cover the filing fee calculated as follows:

Basic Fee	. \$690 00
Additional Fees:	
Number of independent claims in excess of the	hree
times \$78.00	0
Total claims in excess of twenty times \$18.00	0
TOTAL FILING FEE	\$ 690.00

NY: 1017280v1

Hon. Commissioner of Patents and Trademarks June 27, 2000 Page 2

If there is any deficiency in the above-noted filing fee, kindly charge the amount of the deficiency against our deposit account no. 10-1202 If there is an overpayment in the filing fee, kindly credit the amount of overpayment to the same deposit account.

Further, if during the prosecution of the accompanying application, there should occur any deficiency in the payment of the fees due, including claim fees occasioned by amendments, and in the payment of the issue fee or additional issue fee, please charge the amount of the deficiency against our deposit account.

Respectfully submitted,

Registration No 28,078

Enclosures

PETITION



TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Your Petitioners,

- 1. **R. David Prengaman**, a citizen of the United States and a resident of Arlington, Texas, whose post office address is 2207 Ravinia Drive, Arlington, Texas, 76012,
- 2. Clifford E. Morgan, a citizen of the United States and a resident of Arlington, Texas, whose post office address is 2919 Forest Hollow Lane #2002, Arlington, Texas 76006, pray that Letters Patent may be granted to them for their invention entitled Electrowinning Anodes
 Which Rapidly Produce a Protective Oxide Coating, set forth in the accompanying specification.

And we hereby appoint Thomas V. Heyman, Registration No 20,313, and Theresa M. Gillis, Registration No. 28,078, as our attorneys, with full power of substitution and appointment of associate attorneys, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

Send all future correspondence to.

Theresa M Gillis, Esq. Jones, Day, Reavis & Pogue 599 Lexington Avenue New York, New York 10022

Signed at Dallow, Tayos

this **23.1** day of **Time**, 2000

R. David Prengaman

Signed at <u>Daller</u>, <u>Texas</u>
this <u>26</u> day of <u>June</u>, 2000

Clifford E. Morgan

5

9

ELECTROWINNING ANODES WHICH RAPIDLY PRODUCE A PROTECTIVE OXIDE COATING

This application is a continuation-in-part of Serial No 09/229,535, filed January 13, 1999, pending.

Field of the Invention

This invention relates to an improved electrowinning anode particularly for zinc electrowinning. The anode consists of a rolled lead-silver alloy, preferably a lead-calcium-silver alloy, with controlled surface grain structure. Because the anode is used in zinc electrowinning, it should contain no tin. The surface grain structure is formed by a combination of anode chemistry, rolling and heating, preferably while rolling. When placed in a zinc electrowinning cell, the anode surface is rapidly covered with an adherent oxide coating.

Background of the Invention

A zinc electrowinning tankhouse uses cast lead-silver alloy anodes. Silver is added to lead anodes for electrowinning to reduce the rate of corrosion of the anodes in use. Lead anodes used in zinc electrowinning generally contain 0 5-1 0% silver Lead-silver anodes used for zinc electrowinning contain no tin because tin will plate on the negative anode and prevent zinc deposits.

To produce good quality zinc the cathode in an electrowinning cell must contain less than 10 ppm lead. In order to reduce lead contamination of the cathode, the lead anode must be coated with a protective layer of PbO₂/MnO₂ The silver present in the anode decreases the rate of initial oxidation of the anode surface leading to an extended time period before a stable oxide film is produced. Conditioning new anodes by developing a PbO₂ /MnO₂ layer on the surface normally takes many weeks. The complete formation of this layer may take as long as 60-90

25

21

21

1

5

days. Until the anode is fully conditioned, the zinc cathodes in electrowinning cells experience high lead contents, high numbers of nodules and poor current efficiency. In addition, zinc production is substantially reduced as manganese ions are recirculated between anode and cathode as MnO₂ spalled off the anode is reduced at the cathode to produce MnSO₄. The production of zinc from a cell containing new unconditioned anodes may produce as much as one-third less zinc than corresponding conditioned cells.

Once a stable layer of PbO₂/MnO₂ is formed on the anode, the current efficiency of the zinc electrowinning process increases dramatically, and the lead contamination of the resultant cathodes also decreases dramatically. Production of a stable PbO₂ or PbO₂/MnO₂ layer via pretreatment of the anode is described by Ecgett *et al.* in U.S. Patent No. 3,880,733, Gaunce *et al.* in U.S. Patent No. 3,392,094, Fountain *et al.* in U.S. Patent No. 3,755,112, as well as R H Farmer in "Electrometallurgy" ed H Baker 1969. As described therein, a stable PbO₂ layer/MnO₂ layer is typically created by the immersion of the anodes in a preconditioning solution in which the anodes are electrolyzed to produce corroded layers. In some cases the anodes are first immersed in water or water and air to produce a PbO, Pb(OH)₂, or PbCO₃ film which is more readily oxidized to a protective PbO₂ layer than the normal cast or rolled surface. Rodrigues and Meyer, in "EPD Congress 1996" ed G Warren, describe the use of sandblasting to aid in preconditioning anodes.

Lead-silver alloy anodes are relatively weak. In use, they can become warped and bent leading to short circuits between the anode and cathode, low current efficiency, and lead contamination of the cathodes in the area of the short circuit. To improve the mechanical properties of the lead-silver anodes alloying elements such as calcium, strontium, barium and others have been added to the anodes to improve the mechanical properties. For example, UK

NY: 1106954v1 2

21

1

5

patent application GB 2149424A by M.J. Thom teaches an alloy containing 0.4-1.0% Ag, 0.05-0.15% Ca/Sr, less than 0.0002% antimony and optionally barium to reduce calcium losses during remelting.

Production of cast lead-silver or lead-silver-calcium anodes often results in the formation of numerous holes, voids or laps in the anode surface. In use, these can initiate internal corrosion in localized areas which can weaken the anode and cause warping. When the anodes are periodically cleaned of the adhering MnO₂ deposit, the internal corrosion may cause cracking which can lead to premature anode failure.

To reduce the presence of internal porosity or laps, lead-silver or lead-calcium-silver alloys have been rolled into sheets. These sheets have been joined to a copper busbar by various means but primarily by welding the rolled sheet to lead which has been cast around the copper busbar. The rolled sheet generally has a smooth surface on which it is more difficult for the PbO₂/MnO₂ corrosion product to produce an adherent film. In addition, the grain structure is uniform and is oriented in the rolling direction producing a grain structure with few grain boundaries available for corrosion and attachment of the oxidized film

The improvement taught by this invention is the rolling of a cast billet of lead-silver alloys and treatment of the alloy during or after rolling at a temperature sufficiently high to produce a surface on which the PbO₂/MnO₂ layer more readily adheres due to a grain structure having many grain boundaries. The grain structure is nonuniform (*i.e.*, not oriented in the rolling direction)

These anodes have more satisfactory mechanical characteristics than prior art cast anodes and can be conditioned much more rapidly than prior art rolled anodes.

NY. 1106954v1 3

1

5

Summary of the Invention

This invention relates to a lead-silver anode for zinc electrowinning having a randomly oriented grain structure with many grain boundaries. The anode is formed by rolling a cast lead-silver alloy and heat treating the alloy either during or after rolling at a temperature sufficiently high to cause recrystallization of the alloy and to prevent most or all of any calcium, barium and/or strontium present in the alloy from precipitating from solution. Because the anode is used for zinc electrowinning it contains 0% tin. In anodes formed via this procedure, finely divided silver particles form during solidification and prevent gross grain structure growth while the high temperatures result in a material with a recrystallized grain structure with many grain boundaries. The material is also without stresses induced by rolling. A temperature greater than about 100°C and preferably above about 150°C is typically required to produce the proper grain structure.

Detailed Description of the Invention

In accordance with the invention, a lead-silver anode containing 0% tin for use in zinc electrowinning is formed preferably by rolling a cast lead-silver alloy at a temperature high enough to cause recrystallization of the alloy. The temperature is also high enough to prevent precipitation of any alloying elements, such as barium, calcium or strontium, during the rolling process. As a result, an alloy is formed having a grain structure with many grain boundaries to which the PbO₂/MnO₂ layer may adhere more readily than in prior art alloys rolled at lower temperatures.

21

A lead alloy suitable for use in the practice of the invention may contain as little as about 0.30-0.45% silver. A preferred alloy also contains no more than about 0.08% calcium and preferably at least 0.03% calcium. A more preferred alloy contains about 0.04-0.07% calcium.

21

1

5

and about 0.3 to 0.5% silver, most preferably about 0.065% calcium and about 0.35% silver. The alloy may contain other alloying elements, including barium, strontium and other materials which enhance the mechanical properties of an anode. The alloy may also contain small amounts of aluminum to reduce the oxidation of the reactive alloying elements. The alloy must not contain any tin as tin will prevent zinc from depositing.

If the silver content of the lead alloy used to make the anode of the invention is too low, there are insufficient silver particles to restrict the growth of the grains during the hot rolling process. If the silver content is too high, the cost of the alloy is excessive.

If the calcium content of the lead alloy is too low, the improved mechanical properties attributable to calcium will not be achieved. If the calcium content of the invention is higher than about 0.08%, primary Pb₃Ca particles may precipitate from solution during the solidification process and float to the surface of the billet. This will result in an enrichment in calcium on one side of the rolled anode sheet compared to the remainder of the sheet. During use the side enriched in calcium will corrode preferentially causing warping, short circuits, reduced current efficiency and lead contamination of the cathode. The higher the calcium content of the anode above 0.08%, the higher is the differential rate of corrosion between faces and the more likely warping will occur in these rolled anodes

If a billet is cast in a book mold prior to rolling from an alloy containing a calcium content higher than 0.08%, the primary Pb₃Ca particles will form a layer near the center line. During rolling the layer of particles will form a concentrated seam of calcium rich particles at the center of the sheet. When the sheet is cut and assembled into anodes, the high calcium content central areas will corrode preferentially causing delamination and fanning of the edges of the anode sheet. These defects can cause short circuits as well as lead contamination of the cathode.

NY 1106954v1 5

5

17

21

With calcium contents between about 0 03 and 0.08%, all the calcium remains in solution during the solidification process and the billet has a uniform calcium content throughout Rolling this material at the preferred temperature produces a uniform grain structure consisting of silver particles in a matrix of lead and calcium.

An alternative method of forming the anode of the invention consists of cold rolling the cast alloy. The cold rolled anodes are treated by heating to a temperature of about 150°C or above. Heating removes the effects of the cold rolling and produces a grain structure on which a stable oxide film can be formed rapidly. If an anode sheet containing calcium is rolled below 100°C (cold rolling), some of the calcium can precipitate during the rolling operation. This precipitation, when combined with the silver content of the anode, can produce work hardening of the sheet. The hardened sheets can warp when some of the cold work is removed at tankhouse temperatures. Heating the anode sheet to a temperature above 150°C before use reverses the effects of calcium precipitation and the effects of cold rolling

The grains of alloy sheets formed in accordance with the invention are randomly oriented instead of being oriented in the rolling direction, as is the case with prior art rolled alloys. This random orientation of fine grains with many grain boundaries presents a large grain boundary surface area in all regions of the surface. When an anode incorporating the rolled alloy is oxidized to produce a PbO₂/MnO₂ layer, the oxidation is preferential to the grain boundaries and the PbO₂/MnO₂ product attaches itself to these grain boundaries and rapidly covers the adjacent surface. Therefore, the anodes of the present invention can be much more rapidly conditioned than prior art anodes.

6

Example

1

5

A lead-0.06% Ca-0.35% Ag alloy billet was hot rolled in a manner such that the temperature of the cast billet remained above 150°C during the rolling process. Sheets were attached to copper busbars via the process described by U.S. Patent No. 5,172,850. The resultant anodes were added as a full cell to a zinc electrowinning tankhouse. The anodes developed an adherent layer of PbO₂/MnO₂ within two days and produced high current efficiency and low cathode lead contents from the first week of operation

17

5

1 Claims

What is claimed is:

- 1. An anode for electrowinning zinc comprising a rolled lead-silver alloy containing greater than 0% of a member selected from the group consisting of calcium, barium and strontium, containing 0% tin and having a randomly oriented grain structure.
- 2. The anode of claim 1 in which the alloy is a lead-calcium-silver alloy.
- 3. The anode of claim 2 in which the calcium content is below 0.08%
- 4. The anode of claim 2 in which the calcium content is between 0.03 and 0.08%
- 5. The anode of claim 2 in which the silver content is at least 0.3%
- 6. The anode of claim 2 in which the silver content is between 0.3 and 0.5%.
- 7. The anode of claim 2 in which the calcium content is between 0 04 and 0.07% and the silver content is between 0 3 and 0.4%.
- 8. The anode of claim 2 in which the calcium content is about 0.06% and the silver content is about 0.35%.
- 9. The anode of claim 1 in which the rolled alloy is attached to a copper busbar
- 10. The anode of claim 1 in which the alloy contains barium
- 11. The anode of claim 1 in which the alloy contains strontium
- 12. A lead-silver alloy for electrowinning zinc containing greater than 0% of a member selected from the group consisting of calcium, barium and strontium, containing 0% tin and having a randomly oriented grain structure which is not corrosion resistant
- 21 13. An anode for electrowinning zinc formed by rolling a lead-silver alloy containing greater than 0% of a member selected from the group consisting of calcium, barium and strontium and 0% tin, and heat treating the alloy at a temperature sufficiently high to cause

NY 1106954v1 8

- recrystallization of the alloy and to reduce precipitation from solution of any calcium,
 barium or strontium present in the alloy
 - 14. The anode of claim 13 which is formed by rolling the alloy at a temperature above 100°C
 - 15. The anode of claim 13 which is formed by rolling the alloy at a temperature above 150°C.
- The anode of claim 13 which is formed by rolling the alloy at a temperature below 150°C and heat treated above 150°C, whereby a fine grained recrystallized structure is formed

5

Abstract

An anode for use in zinc electrowinning is described. The anode is made of a lead-silver alloy which has been cast as a billet, rolled and subjected to heat treatment either during or after rolling. The temperature of treatment is high enough to cause recrystallization of the alloy and to prevent precipitation of any alloying elements. The anode has a surface grain structure which facilitates rapid oxidation of the anode to condition the anode. The anode preferably contains at least 0.03 to 0.45% silver and up to 0.08% calcium

10

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

ELECTROWINNING ANODES WHICH RAPIDLY PRODUCE A PROTECTIVE OXIDE COATING

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material of the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Ap	pplication(s)	Priority Cla	<u>aimed</u>
Number	Country	Yes]	No
	Day/Month/Year Filed		
Number	Country	Yes	No
	Day/Month/Year Filed	. 18	
Number	Country	Yes	No
	Day/Month/Year Filed		

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information

as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.: <u>09/229,535</u> Filing Date <u>01/13/99</u>
Status: <u>Pending</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

R. David Prengaman

Inventor's signature R Danid Buyaman

Date: June 23, , , 2000

Residence: Arlington, Texas

Citizenship: U S.A.

Post Office Address: 2207 Ravinia Drive

Arlington, Texas, 76012

Full name of second joint inventor, if any.

Clifford E. Morgan

Inventor's signature

Date: 06/26/

Residence: Arlington, Texas Citizenship: U.S.A.

Post Office Address: 2919 Forest Hollow Lane #2002

Arlington, Texas 76006